

JAN 22 2018

Approved

**REQUEST FOR AGENDA PLACEMENT FORM**

Submission Deadline - Tuesday, 12:00 PM before Court Dates

**SUBMITTED BY:** Sheriff Adam King

**TODAY'S DATE:** 01/10/2018

**DEPARTMENT:** Sheriff's Office

**SIGNATURE OF DEPARTMENT HEAD:**

X Adam King

**REQUESTED AGENDA DATE:** 01/22/2018

**SPECIFIC AGENDA WORDING**

The Johnson County Sheriff's Office is requesting to apply for grant funding from the Department of Homeland Security through NCTCOG in the amount of \$42,600.00. The grant is regional and to obtain (12) pairs of helmet mounted night vision goggles to be utilized by SWAT team members. Also request permission for the court to sign a resolution.

**PERSON(S) TO PRESENT ITEM:** Sheriff Adam King

**SUPPORT MATERIAL:** (Must enclose supporting documentation)

**TIME:**

**ACTION ITEM:** X

**WORKSHOP:** \_\_\_\_\_

(Anticipated number of minutes needed to discuss item)

**CONSENT:** \_\_\_\_\_

**EXECUTIVE:** \_\_\_\_\_

**STAFF NOTICE:**

**COUNTY ATTORNEY:** \_\_\_\_\_

**IT DEPARTMENT:** \_\_\_\_\_

**AUDITOR:** \_\_\_\_\_

**PURCHASING DEPARTMENT:** \_\_\_\_\_

**PERSONNEL:** \_\_\_\_\_

**PUBLIC WORKS:** \_\_\_\_\_

**BUDGET COORDINATOR:** \_\_\_\_\_

**OTHER:** \_\_\_\_\_

\*\*\*\*\*This Section to be Completed by County Judge's Office\*\*\*\*\*

ASSIGNED AGENDA DATE: \_\_\_\_\_

REQUEST RECEIVED BY COUNTY JUDGE'S OFFICE \_\_\_\_\_

COURT MEMBER APPROVAL \_\_\_\_\_

Date \_\_\_\_\_

# JOHNSON COUNTY COMMISSIONERS COURT



RICK BAILEY  
Commissioner Pct. #1

ROGER HARMON  
County Judge

JERRY D. STRINGER  
Commissioner Pct. #3

KENNY HOWELL  
Commissioner Pct. #2

Carla Hester  
Assistant to Commissioner's Court

LARRY WOOLLEY  
Commissioner Pct. #4

## RESOLUTION

STATE OF TEXAS

COMMISSIONERS COURT

COUNTY OF JOHNSON

---

### APPROVAL OF SUBMISSION OF THE GRANT APPLICATION FOR THE 2018 SHSP – JOHNSON COUNTY – NIGHT VISION PROGRAM TO THE OFFICE OF THE GOVERNOR

---

**WHEREAS**, the County of Johnson finds it in the best interest of the citizens of Johnson County that the 2018 SHSP–Johnson County–Night Vision Program be operated for the 2017/2018 years; and

**WHEREAS**, the County of Johnson agrees to provide applicable matching funds for the said project as required by the 2018 SHSP–Johnson County–Night Vision Program grant application; and

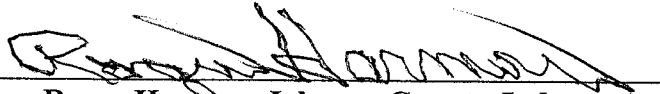
**WHEREAS**, the County of Johnson agrees that in the event of loss or misuse of the Office of the Governor funds, The County of Johnson assures that the funds will be returned to the Homeland Security Grant Department (HSGD) in full; and

**WHEREAS**, the County of Johnson designates Roger Harmon, County Judge for Johnson County, as the grantee's authorized official. The authorized official is given the power to apply for, accept, reject, alter or terminate the grant on behalf of the applicant agency.

**NOW, THEREFORE, BE IT RESOLVED THAT I**, Roger Harmon, and the four Commissioners of Johnson County, Texas, approved submission of the grant application for the 2018 SHSP – Johnson County – Night Vision Program to the Office of the Governor.

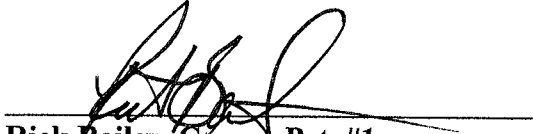
**RESOLVED THIS 22<sup>nd</sup> DAY OF JANUARY, 2018.**

IN WITNESS WHEREOF, we hereto set our hands and cause the Seal of Johnson County, Texas to be affixed on the 22<sup>nd</sup> day of January, 2018.



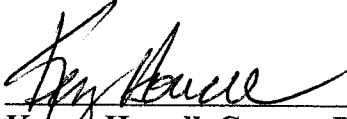
**Roger Harmon, Johnson County Judge**

Voted:  yes,  no,  abstained



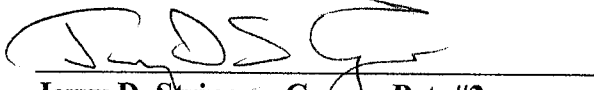
**Rick Bailey, Comm. Pct. #1**

Voted:  yes,  no,  abstained



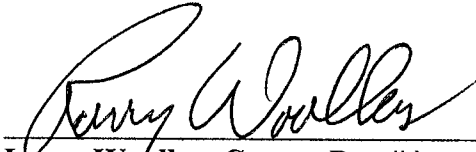
**Kenny Howell, Comm. Pct. #2**

Voted:  yes,  no,  abstained



**Jerry D. Stringer, Comm. Pct. #3**

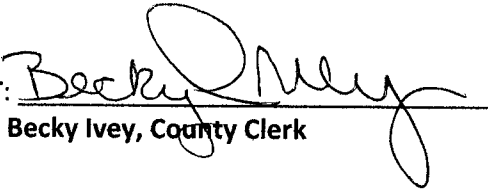
Voted:  yes,  no,  abstained



**Larry Woolley, Comm. Pct. #4**

Voted:  yes,  no,  abstained

ATTEST:



**Becky Ivey, County Clerk**



Resolution-Approval of Submission of the Grant Application for the 2018 SHSP – Johnson County – Night Vision Program  
to the Office of the Governor:

CC: 01-22-2018

Print This Page

**Agency Name:** Johnson County  
**Grant/App:** 3532901 **Start Date:** 10/1/2017 **End Date:** 9/30/2018

**Project Title:** 2018 SHSP - Johnson County - SWAT Equipment Enhancement  
**Status:** Application Pending Submission

#### **Eligibility Information**

**Your organization's Texas Payee/Taxpayer ID Number:**  
756001030

#### **Application Eligibility Certify:**

Created on:1/3/2018 12:46:28 PM By:Troy Fuller

#### **Profile Information**

**Applicant Agency Name:** Johnson County  
**Project Title:** 2018 SHSP - Johnson County - SWAT Equipment Enhancement  
**Division or Unit to Administer the Project:** Johnson County SWAT Team  
**Address Line 1:** 1102 E. Kilpatrick St. Ste A  
**Address Line 2:**  
**City/State/Zip:** Cleburne Texas 76031  
**Start Date:** 10/1/2017  
**End Date:** 9/30/2018

**Regional Council of Governments(COG) within the Project's Impact Area:** North Central Texas Council of Governments  
**Headquarter County:** Johnson  
**Counties within Project's Impact Area:**

#### **Grant Officials:**

##### **Authorized Official**

**User Name:** Roger Harmon  
**Email:** countyjudge@johnsoncountytexas.org  
**Address 1:** 2 North Main  
**Address 1:**  
**City:** Cleburne, Texas 76033  
**Phone:** 817-556-6360 **Other Phone:**  
**Fax:** 817-556-6359  
**Title:** The Honorable  
**Salutation:** Judge  
**Position:** County Judge

##### **Project Director**

**User Name:** Troy Fuller  
**Email:** troy@johnsoncountytexas.org  
**Address 1:** 1102 E. Kilpatrick  
**Address 1:**  
**City:** Cleburne, Texas 76031  
**Phone:** 817-556-6058x227 **Other Phone:** 817-240-6793  
**Fax:** 817-556-6051  
**Title:** Mr.  
**Salutation:** Captain  
**Position:** Criminal Investigations Commander

##### **Financial Official**

**User Name:** Barbara Huneidi  
**Email:** bhuneidi@johnsoncountytexas.org  
**Address 1:** 2 NORTH MAIN ST  
**Address 1:**  
**City:** CLEBURNE, Texas 76033  
**Phone:** 817-556-6307 **Other Phone:** 817-556-6305  
**Fax:** 817-556-6075  
**Title:** Ms.  
**Salutation:** Ms.  
**Position:** Grants Auditor

##### **Grant Writer**

**User Name:** Meredith Nurge  
**Email:** mnurge@nctcog.org  
**Address 1:** P.O. Box 5888  
**Address 1:**  
**City:** Arlington, Texas 76005  
**Phone:** 817-640-7318 **Other Phone:**  
**Fax:**  
**Title:** Ms.  
**Salutation:** Ms.  
**Position:** Emergency Preparedness Specialist

## Grant Vendor Information

**Organization Type:** Unit of Local Government (City, Town, or Village)

**Organization Option:** applying to provide homeland security services

**Applicant Agency's State Payee Identification Number (e.g., Federal Employer's Identification (FEI) Number or Vendor ID):** 756001030

**Data Universal Numbering System (DUNS):**

## Narrative Information

### Overview

The purpose of the Homeland Security Grant Program (HSGP) is to support state and local efforts to prevent terrorism and other catastrophic events and to prepare the Nation for the threats and hazards that pose the greatest risk to the security of the United States. HSGP provides funding to implement investments that build, sustain, and deliver the 32 core capabilities essential to achieving the National Preparedness Goal (the Goal) of a secure and resilient Nation. The building, sustainment, and delivery of these core capabilities are not exclusive to any single level of government, organization, or community, but rather, require the combined effort of the whole community. HSGP supports core capabilities across the five mission areas of Prevention, Protection, Mitigation, Response, and Recovery based on allowable costs.

**State Homeland Security Program (SHSP) - Law Enforcement Terrorism Prevention Activities (LETPA):** Supports state, tribal and local preparedness activities that continue to build law enforcement capabilities to prevent terrorist attacks and support critical prevention and protection activities. All LETPA investments must be consistent with capability targets set during the Threat and Hazard Identification and Risk Assessment (THIRA) process, and gaps identified in the State Preparedness Report (SPR).

Many activities which support the achievement of target capabilities related to terrorism preparedness may simultaneously support enhanced preparedness for other hazards unrelated to acts of terrorism. However, all SHSP-LETPA projects must assist grantees in achieving target capabilities related to preventing, preparing for, or protecting against acts of terrorism.

### Eligibility Requirements

#### National Incident Management System (NIMS) Implementation

Grantees are required to implement NIMS. The NIMS uses a systematic approach to integrate the best existing processes and methods into a unified national framework for incident management across all homeland security activities including prevention, protection, response, mitigation, and recovery. Grantees must use standardized resource management concepts for resource typing, credentialing, and an inventory to facilitate the effective identification, dispatch, deployment, tracking and recovery of resources.

#### Emergency Management Plans (Intermediate Level)

Cities and counties must have a current emergency management plan or be a legally established member of an inter-jurisdictional emergency management program with a plan on file with the Texas Department of Public Safety, Texas Division of Emergency Management (TDEM). Plans must be maintained throughout the entire grant performance period and must be at least at the Intermediate Level. If you have questions concerning your Emergency Management Plan (preparedness) level, contact your Emergency Management Coordinator (EMC) or your regional Council of Governments (COG). For questions concerning plan deficiencies, contact TDEM at [tdem.plans@dps.texas.gov](mailto:tdem.plans@dps.texas.gov).

**Criminal History Reporting** Entities receiving funds from HSGD must be located in a county that has an average of 90% or above on both adult and juvenile dispositions entered into the computerized criminal history database maintained by the Texas Department of Public Safety (DPS) as directed in the *Texas Code of Criminal Procedure, Chapter 60*. The disposition completeness percentage is defined as the percentage of arrest charges a county reports to DPS for which a disposition has been subsequently reported and entered into the computerized criminal history system.

**Uniform Crime Reporting (UCR)** Eligible applicants operating a law enforcement agency must be current on reporting Part I violent crime data to the Texas Department of Public Safety (DPS) for inclusion in the annual Uniform Crime Report (UCR). To be considered eligible for funding, applicants must have submitted a full twelve months of accurate data to DPS for the most recent calendar year.

### Program Requirements

#### Building and Sustaining Core Capabilities

Funding should be used to sustain core capabilities. New capabilities should not be built at the expense of maintaining current and critically needed core capabilities. New capabilities must be aligned with capability targets and gaps identified through the THIRA/SPR process.

#### Mission Areas

The National Preparedness Goal organizes the core capabilities into the five mission areas:

- **Prevention.** Prevent, avoid or stop an imminent, threatened or actual act of terrorism.
- **Protection.** Protect our citizens, residents, visitors, and assets against the greatest threats and hazards in a manner that allows our interests, aspirations, and way of life to thrive.
- **Mitigation.** Reduce the loss of life and property by lessening the impact of future disasters.
- **Response.** Respond quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of a catastrophic incident.
- **Recovery.** Recover through a focus on the timely restoration, strengthening and revitalization of infrastructure, housing and a sustainable economy, as well as the health, social, cultural, historic and environmental fabric of communities affected by a catastrophic incident.

**Law Enforcement Terrorism Prevention Activities (LETPA)**

The state is responsible for ensuring that at least 25 percent (25%) of the combined HSGP funds allocated under SHSP and UASI are dedicated towards law enforcement terrorism prevention activities, as defined in 6 U.S.C. 607.

Grant projects must be consistent with the [Federal Emergency Management Agency \(FEMA\) Information Bulletin \(IB\) 412](#) which discusses eligible activities outlined in:

- a. The [National Prevention Framework](#);
- b. The [National Protection Framework](#) where capabilities are shared with the prevention mission area;
- c. Section 2006 of the [Homeland Security Act of 2002](#), as amended; and
- d. The [FY 2007 Homeland Security Grant Program Guidance and Application Kit](#).

Activities eligible for use of LETPA focused funds include but are not limited to: Maturation and enhancement of designated state and major Urban Area fusion centers, including information sharing and analysis, threat recognition, terrorist interdiction, and training/hiring of intelligence analysts. Coordination between fusion centers and other analytical and investigative efforts. Implementation and maintenance of the Nationwide Suspicious Activity Reporting (SAR) Initiative. Implementation of the "If You See Something, Say Something" campaign to raise public awareness of indicators of terrorism and terrorism-related crime and associated efforts to increase the sharing of information with public and private sector partners. Increased physical security, through law enforcement personnel and other protective measures, by implementing preventative and protective measures at critical infrastructure sites or at-risk nonprofit organizations.

**Program Income**

Applicant agrees to comply with all federal and state rules and regulations for program income and agrees to report all program income that is generated as a result of the project's activities. Applicant agrees to report program income through a formal grant adjustment and to secure HSGD approval prior to use of the program income. Applicant agrees to use program income for allowable costs and agrees to expend program income immediately after HSGD's approval of a grant adjustment and prior to requesting reimbursement of funds.

**Deduction Method** - Program income shall be deducted from total allowable costs to determine the net allowable costs. Program income shall be used for current costs unless HSGD authorizes otherwise. Program income which the grantee did not anticipate at the time of the award shall be used to reduce the HSGD award and grantee match rather than to increase the funds committed to the project.

**Asset Seizures and Forfeitures** - Program income from asset seizures and forfeitures is considered earned when the property has been adjudicated to the benefit of the plaintiff (e.g., law enforcement entity).

**Certification**

Each applicant agency must certify to the specific requirements detailed above as well as all requirements within the HSGD Funding Announcement, the eGrants application, the OOG's Guide to Grants, and the OOG's Grantee Conditions and Responsibilities to be eligible for this program.

I certify to all of the application content and requirements.

**Project Summary :**

Briefly summarize the project, including proposed activities and intended impact.

This regional project will assist in building and sustaining NIMS-typed resources, Special Weapons and Tactics (SWAT) teams throughout the NCTCOG region. A survey of all North Central Texas SWAT teams that participated in the 2013 Urban Shield Exercise and the Big X 2016 Exercise identified several teams without night vision capability for operating in low light or no light environments. This project will enhance terrorism and criminal activity response for SWAT teams throughout the region by funding the purchase of night vision goggles capable of allowing SWAT personnel to operate safely in low light or no light conditions to protect officers and citizens when dealing with coordinated and complex terrorist attacks, hostage situations, and other criminal activity.

**Problem Statement :**

Provide a detailed account of the issues, threats or hazards that your project will target. For federal Homeland Security Grants, include specific references to the regional or state *Threat and Hazard Identification and Risk Assessment (THIRA)*, as applicable.

Over 6 million people live in the North Central Texas (NCT) region. NCT encompasses the 4th busiest and 2nd largest airport in the US, four mass transit entities, internationally renowned sporting/special event venues, a military reserve base, hundreds of International businesses, and a prominent economic and finance hub. As terrorist targets, their close proximity and location in densely populated areas could create a devastating series of cascading events if attacked. Other hazards in NCT, many of which have the potential to be terrorist-induced, include wildfires, HAZMAT spills, chemical releases, train derailments, natural gas line explosions, gang violence, and public health incidents. These examples illustrate the critical infrastructure and key resources that are subject to terrorism threats, and create a critical need for SWAT teams with the capability to operate safely and securely in periods and environments of low or no light to protect officers and citizens during terrorist attacks, hostage rescue situations, or active shooter incidents. Interdiction and Disruption - Page 62 of Regional THIRA

**Existing Capability Levels :**

Describe the existing capability levels, including resources that are currently in place to support this project prior to the use of grant funds.

A survey of all North Central Texas SWAT teams that participated in the 2013 Urban Shield Exercise and the Big X 2016 Exercise identified several teams without a night vision capability. This regional project will assist regional Special Weapons and Tactics (SWAT) teams in preventing, preparing for, and protecting against acts of terrorism. This project will enable SWAT teams to be better prepared for a terrorism incident occurring in low light to no light conditions, which may ultimately prevent acts of terrorism. The night vision will also prevent injury and loss of life in the event of a terrorism incident by allowing SWAT teams to operate safely to protect officers and citizens when dealing with coordinated and complex terrorist attacks. SWAT teams will be better prepared for terrorism incidents by having the equipment and by utilizing the equipment during exercises.

**Capability Gaps:**

Describe the capability gaps which will be addressed by the project. For federal Homeland Security Grants, include specific references to the regional or statewide State Preparedness Report (SPR).

The Johnson County Sheriff's Office SWAT team currently has no operational night vision goggles and needs a minimum of 12 (12) night vision goggles to have two fully operational teams with enhanced capability for operating in low light or no light conditions. Interdiction and Disruption - Page 21 of Regional State Preparedness Report (SPR)

**Impact Statement :**

Describe the project goals/objectives and how this project will maintain capabilities or reduce capability gaps.

The goal of this project is to fund the purchase of enough night vision goggles to outfit one complete SWAT response element for the Johnson County Sheriff's Office SWAT Team. The objective is to provide the Johnson County Sheriff's Office SWAT Team with the capability to operate safely and securely in low light or no light conditions when necessary in response to coordinated and complex terrorist attacks, hostage rescue situations, and active shooter

incidents, or other criminal activity.

**Homeland Security Priority Actions:**

Identify the Texas Homeland Security Priority Action most closely aligned with this project. Each Priority Action is linked with an *Objective from the Texas Homeland Security Strategic Plan (HSSP)*. List the Priority Action by number and text (e.g. *1.2.3 Expand and enhance the network of human sources that can provide detailed and relevant information on known or suspected terrorist and criminal enterprises.*)

4.1.1 Develop a regionally focused multi-discipline, all hazards response system that ensures each region statewide has the necessary response teams and resources.

**Target Group :**

Identify the target group and population expected to benefit from this project.

The Johnson County Sheriff's Office SWAT team provides hazardous operations response for the unincorporated areas of Johnson County, and provides support to the other municipalities located within Johnson County. Johnson County is 725 square miles with a population of approximately 163,274.

**Long-Term Approach:**

Describe how the applicant agency will maintain the capabilities supported by this project without additional federal or state funds. If sustainment is dependent upon federal or state grants, describe the ongoing need for future grants, as applicable.

The Johnson County shall be responsible for any training, maintenance, or any additional optional equipment costs for the night vision goggles outside of the purchase costs allowed by this grant. The Johnson County shall be responsible for replacement of the night vision goggles upon damage, destruction or obsolescence.

**Project Activities Information**

**HSGP Instructions for Project Activity Selection**

Homeland Security Grant Program (HSGP) applicants should only select one project activity. The eGrants system will allow multiple selections, but each HSGP subrecipient project must fit into one and only one of the Investment Categories that are listed as project activities under the "Activity List".

**Selected Project Activities:**

| ACTIVITY   | PERCENTAGE: | DESCRIPTION   |
|--|-------------|---|
| Sustaining Special Response Teams and First Responder Capabilities | 100.00      | The purchase of 12 night vision goggles for use in operating in low light or no light conditions to assist in the prevention and protection of terrorist attack, tactical movement, hostage rescue, active shooter incidents. |

**Measures Information**

Objective Output Measures

| OUTPUT MEASURE  | TARGET LEVEL |
|---|--------------|
| Number of exercises conducted.  | 0            |
| Number of individuals participating in exercises.                                 | 0            |
| Number of people trained.   | 0            |
| Number of Special Response Team personnel provided with new or updated equipment. | 12           |
| Number of Special Response Teams created, maintained or enhanced.                 | 1            |
| Number of trainings conducted.  | 0            |

Objective Outcome Measures

| OUTCOME MEASURE | TARGET LEVEL |
|-----------------|--------------|
|-----------------|--------------|

## Custom Output Measures

| CUSTOM OUTPUT MEASURE | TARGET LEVEL |
|-----------------------|--------------|
|-----------------------|--------------|

## Custom Outcome Measures

| CUSTOM OUTCOME MEASURE | TARGET LEVEL |
|------------------------|--------------|
|------------------------|--------------|

**Resolution from Governing Body**

Applications from nonprofit corporations, local units of governments, and other political subdivisions must include a resolution that contains the following:

1. Authorization by your governing body for the submission of the application to OOG/HSGD that clearly identifies the name of the project for which funding is requested;
2. A commitment to provide all applicable matching funds;
3. A designation of the name and/or title of an authorized official who is given the authority to apply for, accept, reject, alter, or terminate a grant (Note: If a name is provided, you must update HSGD should the official change during the grant period.); and
4. A written assurance that, in the event of loss or misuse of grant funds, the governing body will return all funds to HSGD.

Upon approval from your agency's governing body, upload the approved resolution to eGrants by going to the **Upload.Files** tab and following the instructions on Uploading eGrants Files.

**Contract Compliance**

Will HSGD grant funds be used to support any contractual or professional services?

Select the Appropriate Response:

- Yes  
 No

For applicant agencies that selected **Yes** above, describe how you will monitor the activities of the sub-contractor(s) for compliance with the contract provisions (including equipment purchases), deliverables, and all applicable statutes, rules, regulations, and guidelines governing this project.

Enter a description for monitoring contract compliance:

N/A

**Lobbying**

For applicant agencies requesting grant funds in excess of \$100,000, have any federally appropriated funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant loan, or cooperative agreement?

Select the Appropriate Response:

- Yes  
 No  
 N/A

For applicant agencies that selected either **No** or **N/A** above, have any non-federal funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress in connection with this federal contract, loan, or cooperative agreement?

- Yes  
 No  
 N/A

**Fiscal Year**

Provide the begin and end date for the applicant agency's fiscal year (e.g., 09/01/20xx to 08/31/20xx).

Enter the Begin Date [mm/dd/yyyy]:

10/1/2017

Enter the End Date [mm/dd/yyyy]:

9/30/2018

**Sources of Financial Support**

Each applicant must provide the amount of grant funds expended during the most recently completed fiscal year for the following sources:

Enter the amount (\$) of Federal Grant Funds:

703620

Enter the amount (\$) of State Grant Funds:

2304676

**Single Audit**



Applicants who expend less than \$750,000 in federal grant funding or less than \$750,000 in state grant funding are exempt from the Single Audit Act and cannot charge audit costs to a HSGD grant. However, HSGD may require a limited scope audit as defined in 2 CFR Part 200, Subpart F - Audit Requirements.

Has the applicant agency expended federal grant funding of \$750,000 or more, or state grant funding of \$750,000 or more during the most recently completed fiscal year?

Yes  
 No

Applicant agencies that selected **Yes** above, provide the date of your organization's last annual single audit, performed by an independent auditor in accordance with the State of Texas Single Audit Circular; or CFR Part 200, Subpart F - Audit Requirements.

Enter the date of your last annual single audit:

9/30/2016

### Debarment

Each applicant agency will certify that it and its principals (as defined in 2 CFR Part 180.995):

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from participation in this transaction by any federal department or agency;
- Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; or
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in the above bullet; and have not within a three-year period preceding this application had one or more public transactions (federal, state, or local) terminated for cause or default.

Select the appropriate response:

I Certify  
 Unable to Certify

Enter the debarment justification:

### FFATA Certification

**Certification of Recipient Highly Compensated Officers** - The Federal Funding Accountability and Transparency Act (FFATA) requires Prime Recipients (HSGD) to report the names and total compensation of each of the five most highly compensated officers (a.k.a. positions) of each sub recipient organization for the most recently completed fiscal year preceding the year in which the grant is awarded if the subrecipient answers **YES** to the **FIRST** statement but **NO** to the **SECOND** statement listed below.

In the sub recipient's preceding completed fiscal year, did the sub recipient receive: (1) 80 percent or more of its annual gross revenue from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements; AND (2) \$25,000,000 or more in annual gross revenue from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements?

Yes  
 No

Does the public have access to information about the compensation of the senior executives through periodic reports filed under Section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or Section 6104 of the Internal Revenue Code of 1986?

Yes  
 No

If you answered **YES** to the **FIRST** statement and **NO** to the **SECOND** statement, please provide the name and total compensation amount of each of the five most highly compensated officers (a.k.a. positions) within your agency for the current calendar year. If you answered **NO** to the first statement you are NOT required to provide the name and compensation amounts. NOTE: "Total compensation" means the complete pay package of each of the sub recipient's compensated officers, including all forms of money, benefits, services, and in-kind payments (see SEC Regulations: 17 CCR 229.402).

Position 1 - Name:

Position 1 - Total Compensation (\$):

0

Position 2 - Name:

Position 2 - Total Compensation (\$):

0

Position 3 - Name:

Position 3 - Total Compensation (\$):

0

Position 4 - Name:

Position 4 - Total Compensation (\$):

0

Position 5 - Name:

Position 5 - Total Compensation (\$):

0

### Homeland Security Information

### FUND SOURCE INFORMATION AND REQUIREMENTS

DHS Project Type: Establish/enhance regional response teams

#### Capabilities

Core Capability: Interdiction and Disruption

Identify if this investment focuses on building new capabilities or sustaining existing capabilities. : New Capabilities (Build)

**Are the assets or activities Deployable or Shareable: Shareable**

Check if this Investment requires new construction or renovation, retrofitting, or modification of existing structures

Check if these funds will support a project that was previously funded with HSGP funding

**Project Management Step Involved:**

Check the step that most closely resembles the phase of the project activities to be completed during the grant period.

**Step:** Execute

**Description:** The period within the project lifecycle during which the actual work of creating the project's deliverables is carried out.

**Process:** Involves directing, accomplishing, managing, and completing all phases and aspects of work for a given project.

**Milestones**

**Milestone:** Request quotes from Vendors; **Completion Date:** 12-31-2017

**Milestone:** Make product selection and order; **Completion Date:** 03-01-2018

**Milestone:** Receive Product; **Completion Date:** 09-01-2018

**NIMS Resources**

Check if this project supports a NIMS typed resource

**Enter the name of the typed resources from the Resource Type Library Tool:** SWAT/Tactical Teams

**Enter the ID of the typed resources from the Resource Type Library Tool:** 6-508-1006

**Fiscal Capability Information****Section 1: Organizational Information**

Enter the Year in which the Corporation was Founded:

Enter the Date that the IRS Letter Granted 501(c)(3) Tax Exemption Status:

Enter the Employer Identification Number Assigned by the IRS:

Enter the Charter Number assigned by the Texas Secretary of State:

**Section 2: Accounting System**

The grantee organization must incorporate an accounting system that will track direct and indirect costs for the organization (general ledger) as well as direct and indirect costs by project (project ledger). The grantee must establish a time and effort system to track personnel costs by project. This should be reported on an hourly basis, or in increments of an hour.

Is there a list of your organization's accounts identified by a specific number (i.e., a general ledger of accounts).

Select the appropriate response:

Yes

No

Does the accounting system include a project ledger to record expenditures for each Program by required budget cost categories?

Select the appropriate response:

Yes

No

Is there a timekeeping system that allows for grant personnel to identify activity and requires signatures by the employee and his or her supervisor?

Select the appropriate response:

Yes

No

If you answered 'No' to any question above in the Accounting System section, in the space provided below explain what action will be taken to ensure accountability.

Enter your explanation:

**Section 3: Financial Capability**

Grant agencies should prepare annual financial statements. At a minimum, current internal balance sheet and income statements are required. A balance sheet is a statement of financial position for a grant agency disclosing assets, liabilities, and retained earnings at a given point in time. An income statement is a summary of revenue and expenses for a grant agency during a fiscal year.

Has the grant agency undergone an independent audit?

Select the appropriate response:

Yes

No

Does the organization prepare financial statements at least annually?

Select the appropriate response:

Yes

No

According to the organization's most recent Audit or Balance Sheet, are the current total assets greater than the liabilities?

Select the appropriate response:

Yes  
 No

If you selected 'No' to any question above under the Financial Capability section, in the space provided below explain what action will be taken to ensure accountability.  
 Enter your explanation:

**Section 4: Budgetary Controls**

Grant agencies should establish a system to track expenditures against budget and / or funded amounts.  
 Are there budgetary controls in effect (e.g., comparison of budget with actual expenditures on a monthly basis) to include drawing down grant funds in excess of:

a) Total funds authorized on the Statement of Grant Award?  
 Yes  
 No

b) Total funds available for any budget category as stipulated on the Statement of Grant Award?  
 Yes  
 No

If you selected 'No' to any question above under the Budgetary Controls section, in the space provided below please explain what action will be taken to ensure accountability.  
 Enter your explanation:

**Section 5: Internal Controls**

Grant agencies must safeguard cash receipts, disbursements, and ensure a segregation of duties exist. For example, one person should not have authorization to sign checks and make deposits.  
 Are accounting entries supported by appropriate documentation (e.g., purchase orders, vouchers, receipts, invoices)?

Select the appropriate response:  
 Yes  
 No

Is there separation of responsibility in the receipt, payment, and recording of costs?  
 Select the appropriate response:

Yes  
 No

If you selected 'No' to any question above under the Internal Controls section, in the space provided below please explain what action will be taken to ensure accountability.  
 Enter your explanation:

**Budget Details Information**

Budget Information by Budget Line Item:

| CATEGORY  | SUB CATEGORY  | DESCRIPTION  | OOG         | CASH MATCH | IN-KIND MATCH | GPI    | TOTAL       | UNIT/% |
|-----------|---|--|-------------|------------|---------------|--------|-------------|--------|
| Equipment | 03OE-02-TILA Optics, Thermal Imaging and/or Light Amplification | 12 pairs of helmet mounted night vision goggles to be utilized by certified SWAT team members. 12 @ \$3550.00 Each | \$42,600.00 | \$0.00     | \$0.00        | \$0.00 | \$42,600.00 | 12     |

**Source of Match Information**

Detail Source of Match/GPI:

| DESCRIPTION | MATCH TYPE | AMOUNT |
|-------------|------------|--------|
|-------------|------------|--------|

Summary Source of Match/GPI:

| Total Report | Cash Match | In Kind | GPI Federal Share | GPI State Share |
|--------------|------------|---------|-------------------|-----------------|
|--------------|------------|---------|-------------------|-----------------|

|        |        |        |        |        |
|--------|--------|--------|--------|--------|
| \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
|--------|--------|--------|--------|--------|

**Budget Summary Information**

**Budget Summary Information by Budget Category:**

| CATEGORY  | OOG         | CASH MATCH | IN-KIND MATCH | GPI    | TOTAL       |
|-----------|-------------|------------|---------------|--------|-------------|
| Equipment | \$42,600.00 | \$0.00     | \$0.00        | \$0.00 | \$42,600.00 |

**Budget Grand Total Information:**

| OOG         | CASH MATCH | IN-KIND MATCH | GPI    | TOTAL       |
|-------------|------------|---------------|--------|-------------|
| \$42,600.00 | \$0.00     | \$0.00        | \$0.00 | \$42,600.00 |

**Condition Of Fundings Information**

| Condition of Funding / Project Requirement | Date Created | Date Met | Hold Funds | Hold Line Item Funds |
|--|--------------|----------|------------|----------------------|
|--|--------------|----------|------------|----------------------|

You are logged in as User Name: lt1004